



# International Shares Client Guide



CommSec

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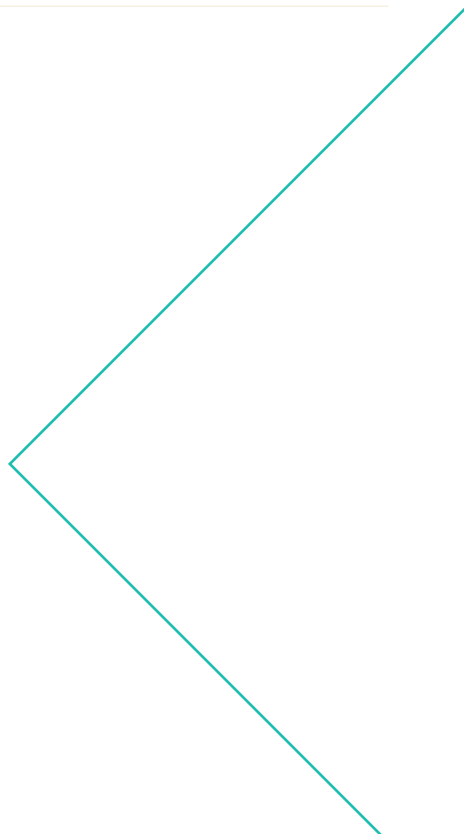
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# NEW ACCOUNT

## HOW TO APPLY

In order to apply for and open a new International Shares Account, you must first have an:

- Active Australian Shares or CommSec Pocket Trading Account linked to a Commonwealth Direct Investment Account (CDIA)
- Australian residential address
- Australian postal address
- Valid mobile number
- Valid email address

If you are a U.S. citizen, or do not meet the eligibility criteria above, you may not be able to apply and open an International Shares Account successfully.

If you do meet the criteria, please log into CommSec and follow the steps below to apply for your new account:

1. Navigate to **Products**
2. Click on **Shares**
3. Select **International**
4. Once you are ready, click on **Apply Now** and follow the prompts to complete your application

The screenshot shows the CommSec website interface with the following navigation path highlighted by numbered callouts:

- 1**: Points to the **Products** menu item in the top navigation bar.
- 2**: Points to the **Shares** sub-menu item.
- 3**: Points to the **International** sub-menu item.
- 4**: Points to the **Apply Now** button.

The page content includes the following text:

**It's easy to start building a share portfolio with CommSec**

CommSec makes it easy to trade and invest to help reach your short and long term financial goals. Over time, the share market has offered better long term returns than most investments. Unlike property, you don't need a large deposit to get started and you can start trading with a minimum investment of \$500.

**Australian International Cash**

**Overview**

**Trade the leading global share markets**

Although the Australian share market offers some excellent investment opportunities, it makes up less than 2% of the world's total by market capitalisation. So if you're only investing in Australian shares, you're missing out on the investment potential of the other 98%.

Now it's easy to invest globally, with a CommSec International trading account. As a CommSec International client, you can trade on many of the leading global share markets, including the New York Stock Exchange, NASDAQ, the London Stock Exchange and the Tokyo Stock Exchange.

**Why trade on international markets?**

International trading offers you access to opportunities that are simply not available in Australia.

For example, if you have a particular view of the market, an international account can give you investment exposure to a sector that's appropriate to your investment view.

# FUNDING YOUR INTERNATIONAL SHARES ACCOUNT

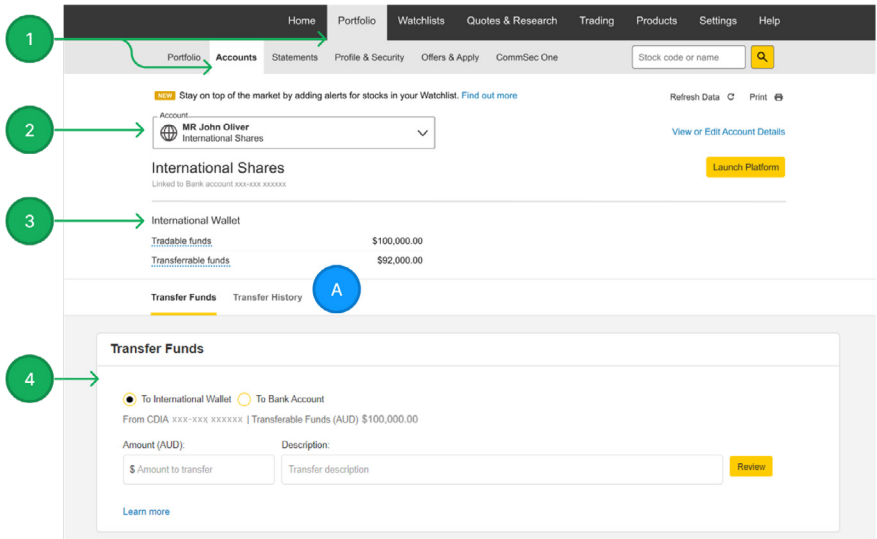
## ACCOUNT TYPE

International Shares Accounts require Australian Dollars (AUD) to be deposited into the integrated International Shares Wallet prior to placing your order. To access the Funds Transfer page, go to **Portfolio > Account** and select your International Shares Account from the drop down list. Depending on your account type, you will either:

- **International Shares:** need to transfer Australian Dollars (AUD) into your International Shares Account. Once the funds are received into your account, which takes only a few seconds, you can place a trade on all available markets, as long as you have the sufficient balance in AUD needed to complete your order. All foreign currency Settlement amounts are automatically converted back to AUD.
- **International Shares Plus:** need to transfer Australian Dollars (AUD) into your International Shares Account. Once the funds are received into your account, which only takes a few seconds, you can request a foreign currency conversion and foreign currency balances will be held in your wallet. Automatic foreign currency conversions into the settlement currency will occur if needed to complete your order.

# DEPOSIT FUNDS INTO YOUR INTERNATIONAL SHARES ACCOUNT

1. Navigate to **Portfolio** and click on **Accounts** along the sub-menu
  2. Select your **International Shares Account** from the drop down men
  3. View your International Wallet's:
    - i. **Tradable funds** – the equivalent amount in AUD that you have available to spend on BUY orders
    - ii. **Transferrable funds** – the funds in your International Wallet that you have available to transfer into your linked CDIA
  4. To deposit Australian Dollars into your International Wallet, select **To International Wallet**
    - i. Your linked Settlement Account's Available Balance will be shown to you
    - ii. Enter the **Amount** you wish to deposit, up to the amount of transferrable funds you have available, and a **Description** for the transfer, then press Review to review and submit your transfer request
- A. View your previously submitted Transfer Instructions in the **Transfer History**



# WITHDRAW FUNDS FROM YOUR INTERNATIONAL ACCOUNT

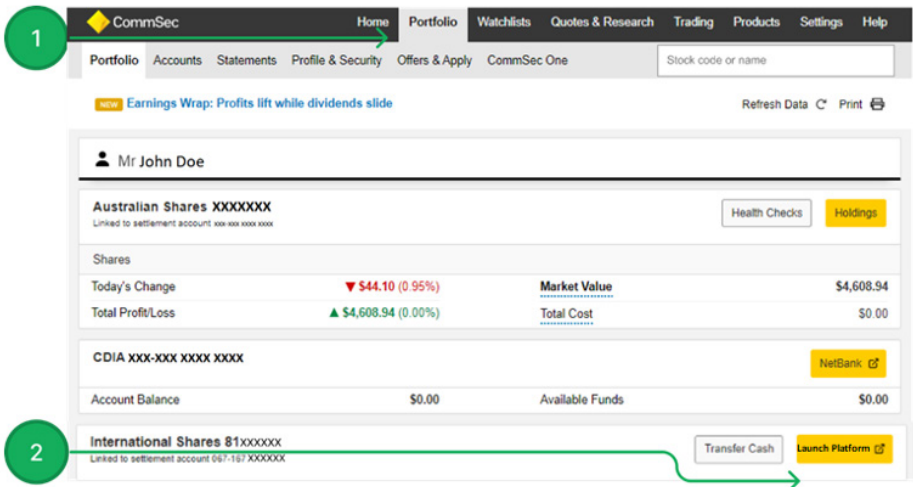
1. Navigate to **Portfolio** and click on **Accounts** along the sub-menu
  2. Select your **International Shares Account** from the drop down menu
  3. View your International Wallet's:
    - i. **Tradeable funds**: the equivalent amount in Australian Dollars that you have to spend on BUY orders.
    - ii. **Transferrable funds**: the funds in your International Wallet that you have available to transfer into your linked CDIA. Please note that if you have open BUY orders resting (unexecuted) in the market, their value is not included in the Transferrable Funds Balance. If you withdraw funds from your International Wallet resulting in the remaining Tradeable Funds being less than the amount required to settle your open BUY orders, we may cancel your BUY order(s).
  4. To withdraw Australian Dollars (AUD) from your International Wallet, select **To Settlement Account**
    - i. Your available balance to withdraw will be shown to you
    - ii. Enter the **Amount** you wish to withdraw, up to the amount of transferrable funds you have available, and a **Description** for the transfer
- A. View your previously submitted Transfer Instructions in the **Transfer History**

The screenshot illustrates the steps for withdrawing funds from an International Wallet. It shows the navigation path from the main menu to the 'Accounts' section, selecting the 'International Shares' account, and viewing the 'International Wallet' balance. The wallet shows 'Tradeable funds' of \$100,000.00 and 'Transferrable funds' of \$92,000.00. The 'Transfer Funds' section is highlighted, showing options to transfer 'To International Wallet' or 'To Bank Account'. The 'To Bank Account' option is selected, and the form displays the transfer amount as \$92,000.00 AUD. The form includes fields for 'Amount (AUD)' and 'Description', with a 'Review' button.

# LAUNCHING THE INTERNATIONAL PLATFORM

Once your account is established, you can access the International Platform by first, logging into CommSec, and following the steps below:

1. Navigate to **Portfolio** to see a list of your accounts with CommSec
2. Click on **Launch Platform**





# INTERNATIONAL PLATFORM – HOME

Once you have launched the International Platform, you will be taken to the Home page, which will allow you to access a range of functions and features on offer

- The main menu bar allows you to navigate through the platform and access:
  - Portfolio
  - Trade
  - Research
  - Performance and Reports
- View the total balance of your portfolio (Securities and cash). The value of your portfolio is displayed in an equivalent AUD amount
- View your funds available for withdrawal (Cash), funds available to trade (Buying Power) and any accrued post ex-date dividends pending payout
- A customisable dashboard that allows you to insert widgets of your choosing ranging from Watchlist, Trades, Market Overview and more
- A quick navigation to open an Order Ticket, if you already know the details of the order you want to place.

**CommSec** Symbol Search  Q 5 [Trade](#)

**1** [Home](#) [Portfolio](#) [Trade](#) [Research](#) [Performance & Reports](#)

**2** **Your Portfolio** As of 11:18:36 GMT+11:27

Net Liquidity **99.92** NAV Change (1M) **-0.08 (-0.08%)** Value | Performance ↗

**3**

Account Test Alias	
Cash	94.68
Buying Power	94.68
Dividends	0.00

[More](#)

**4** **Your Dashboard** Add/Edit Widgets

**For You**

You are all caught up. Check back tomorrow.

**Market Overview** ↗

BRIEFING.COM MARKET UPDATE  
**Closing Summary**

Shortly after the opening bell, today's trade had the looks of another downstart day for the stock market. The main indices bounced off their early lows, however, after buyers stopped in when the S&P 500 touched the 3,800 level.

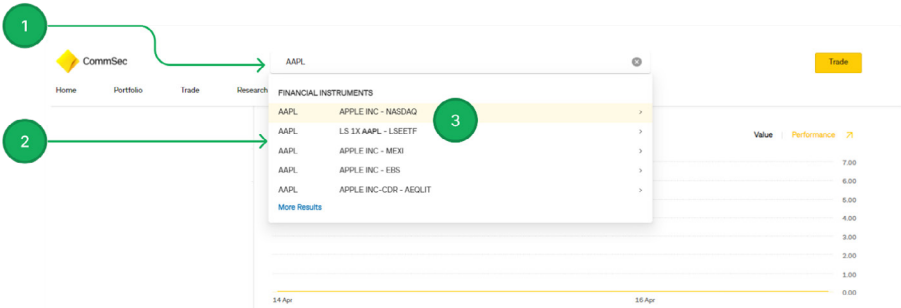
**Top Portfolio Positions** ↗

SYMBOL	MKT VALUE	LAST	CHANGE
QQQ	2.95	270.86	+0.415
INVECO QQQ TR...			

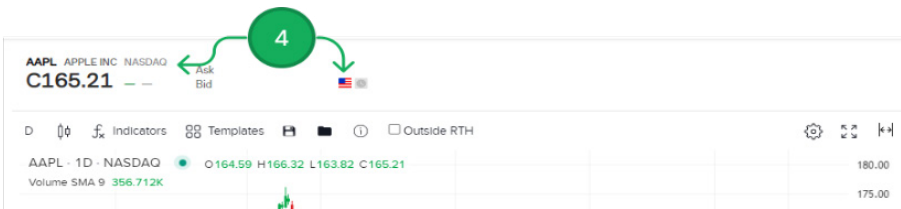
# STOCK QUOTE SEARCH

For a quick quote, use the **Symbol Search** function to identify your company of interest prior to making any trades. Follow the steps below to learn how to use the **Symbol Search**:

1. Enter your company name or symbol
2. Click on the intended result in the drop down box
3. Familiarise yourself with the Exchange and underlying country in which your desired company trades in



4. The Exchange and Country will be confirmed again on the Quote screen



**Note** – Please see Country/Exchange guide below

<b>Country</b>	<b>Exchange</b>
Canada	TSE - Toronto Stock Exchange
France	SBF - Euronext France
Germany	IBIS - XETRA
Hong Kong	SEHK – Hong Kong Stock Exchange
Italy	BVME – Borsa Italiana
Japan	TSEJ – Tokyo Stock Exchange
Netherlands	AEB – Euronext Netherlands
Norway	OSE – Oslo Stock Exchange
Singapore	SGX – Singapore Exchange
Switzerland	EBS – SIX Swiss Exchange
Sweden	SFB – Swedish Stock Exchange
United Kingdom	LSE – London Stock Exchange
United States	NASDAQ, NYSE, AMEX, ARCA

# INTERNATIONAL PLATFORM - PORTFOLIO POSITIONS

1. View your held Securities through the **Portfolio** menu
2. Total portfolio value, daily profit and loss (based off cost basis)
  - i. Your base currency will always be in Australian Dollars (AUD)
3. Your held securities and other key details will be visible here. These include:
  - i. **Instrument:** Stock code and name of your held asset(s)
  - ii. **Position:** Amount of units held
  - iii. **Last:** Last traded price
  - iv. **Change %:** Daily change in price (percentage)
  - v. **Cost Basis:** The original value of the holding
  - vi. **Market Value:** The current market value of your shares
  - vii. **Avg Price:** The average acquisition price
  - viii. **Daily P&L:** Daily profit & loss value
  - ix. **Unrealized P&L:** Unrealised or “paper” profit & loss
4. View any upcoming or current Corporate Actions that impact your portfolio, see below for more information

**Portfolio** As of 09:35:19 GMT+11 Net Liquidity **99.92** Daily P&L **+0.01** Unrealized P&L **-0.10** Foreign Liquidity **95.61** Details

1 → **Positions** Performance Impact Dashboard Corporate Actions 21 ← 4

INSTRUMENT	POSITION	LAST	CHANGE %	COST BASIS	MARKET VALUE	AVG PRICE	DAILY P&L	UNREALIZED P&L
000	0.0109	270.34	+0.22%	3.00	2.95	276.47	0.00	-0.07

- A. The **Corporate Action Manager** will allow you to view and action any upcoming events that affect your portfolio and holdings. Here you can view:
  - Upcoming Actions, Choice Actions, Mandatory Actions, Conversions, Warrant Exercises and Past Actions

**A Corporate Action Manager**

Upcoming Actions Choice Actions Mandatory Actions Conversions Warrant Exercises Past Actions

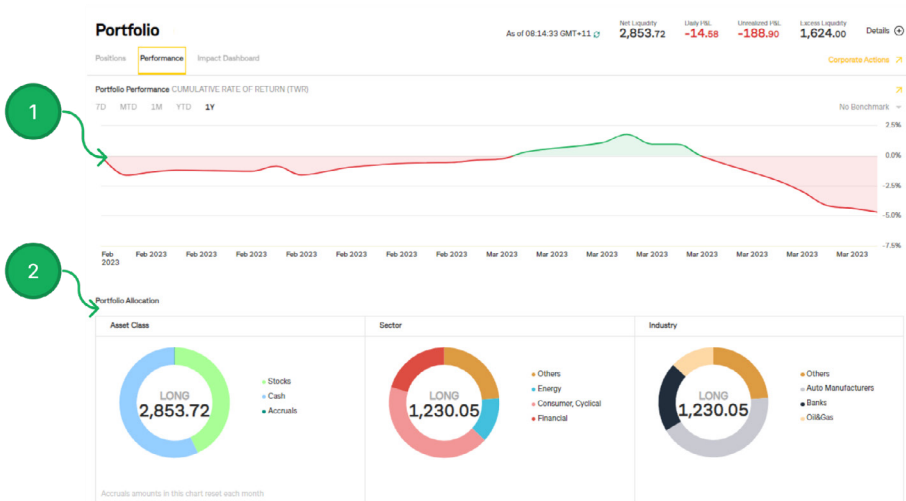
Enter filter text here [Search Icon] [Clear Icon]

# Effective / Deadline # Symbol / Exchange # Description # Type # Status

No Actions

# PERFORMANCE

1. Review the performance of your portfolio over a range of time periods, including weekly, monthly and yearly
2. A breakdown of your portfolio's holdings into:
  - i. Asset Classes
  - ii. Sectors
  - iii. Industries



# CASH REPORT (INTERNATIONAL SHARES PLUS USERS ONLY)

- 1. Cash:** View your total cash balance (reflected in the BASE currency)
- 2. Convert Currency:** Convert your cash into another currency to transact for trade purposes. If you wish to trade in the U.S. markets, you must have a U.S. Dollar balance available, if you do not, we will automatically convert your currency into USD. The same principle applies to other markets and their denominated currencies.  
  
**Convert All to AUD:** Convert all your various held currencies into AUD for the purposes of withdrawal
- 3. Currency Balances:** View the balances of all the currencies you hold as well as the USD equivalent based off the Prevailing market rate at the time (insert link to fees and charges page or FSG for clients to view fx rates)

The screenshot shows the 'Portfolio' page with the 'Cash Report' tab selected. The interface includes a top navigation bar with 'Positions', 'Performance', 'Cash Report', and 'Impact Dashboard'. The main content area is divided into two columns. The left column displays the 'Total Cash' as '0.00 AUD' as of '11:00:19 GMT+11'. Below this are two buttons: 'Convert Currency' and 'Convert All to AUD'. The right column displays a table of 'Cash' balances for various currencies: USD, GBP, HKD, JPY, SGD, and AUD (base currency), all showing 0.00. A 'Total Cash (in AUD)' row also shows 0.00. Three purple callout circles are overlaid on the image: circle 1 points to the 'Total Cash' value, circle 2 points to the 'Convert Currency' button, and circle 3 points to the 'Total Cash (in AUD)' row.

Currency	Balance
USD	0.00
GBP	0.00
HKD	0.00
JPY	0.00
SGD	0.00
AUD (base currency)	0.00
<b>Total Cash (in AUD)</b>	<b>0.00</b>

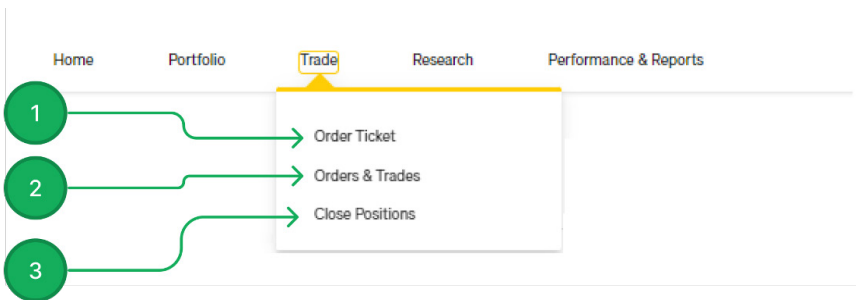
# INTERNATIONAL PLATFORM – TRADE

Once you have funded your International Shares Account and are ready to trade you can access the Trade menu by navigating to the **Trade** tab, where you will be able to place trades, review open, filled and cancelled orders and more. Learn more below.

Once you click on **Trade**, a drop down box will appear to reveal the following options:

- 1. Order Ticket:** Place an order into the market
- 2. Orders & Trades:** Review your open, cancelled, executed and partially executed orders
- 3. Close Positions:** Sell all or a percentage of your portfolio down

In the following pages, we will explore each of these trade functions in more detail



## USING THE ORDER TICKET

1. Search for your **Company Symbol** or name and select **Buy Order** or **Sell Order**
2. Provide the **Quantity** or **Value** (depending on the underlying securities' denominated currency) and select your Order Type. Learn about **Order Types** below:
  - **Market:** An order to be executed at the best available market price.
  - **Mid-Price:** An order designed to split the difference between the bid and ask prices, and fill at the midpoint of the best available bid and offer.
  - **Limit:** An order to buy or sell a security at or better than a specified price.
  - **Stop:** An order to be executed at the best available market price if the market reaches a specific price.
  - **Stop-Limit:** An order that is executed at specific price or better after a stop price has been reached. Once the stop is triggered, the order will become a limit order.
  - **Market on close:** An order that is scheduled to trade at the close, at the best available market price
  - **Limit on close:** An order that is scheduled to trade at the close, at or better than a specified price

**Order Ticket**

Stocks Convert Currency

1 → SYMBOL

Buy Order Sell Order

2 → QUANTITY  
 -- + Shares USD

-14,382.00 USD

ORDER TYPE LIMIT PRICE  
 Limit  -- +

Price Management Algo

EXPIRY  
  Outside RTI

3 → ATTACH ORDERS  
 Profit Taker   
 Stop Loss

Data powered by IQI

4 →

### 3. Attach Order - OPTIONAL (see below):

- **Profit Taker:** is a conditional order designed to close out a profitable long position, or create an opposite order to buy back into a position you have sold, at a lower price:
  - For BUY orders, the profit taker will create a SELL order at a price (as specified by you) above the purchase price once the original order has executed, and uses the same quantity as the original (buy) order it is attached to
  - For SELL orders, the profit-taker will create a BUY order at a price (as specified by you) below the sell price of the original order, that uses the same quantity as the original (sell) order it is attached to
- **Stop Loss:** Is a conditional order designed to limit your loss on long position, or create an opposite order to buy back into a position you have sold at a higher price



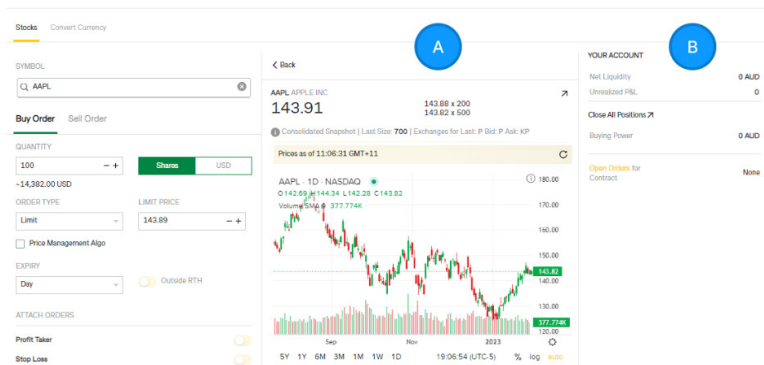
- For BUY orders, the Stop Loss will create a SELL order at a price (as specified by you) below the purchase price once the original order has executed, and uses the same quantity as the original (buy) order it is attached to
- For SELL orders, the Stop Loss will create a BUY order at a price (as specified by you) above the sell price of the original order, that uses the same quantity as the original (sell) order it is attached to

4. Select **Preview** once you are ready to submit your order

The Order Ticket will also present you with some supplementary information to help inform you of information relevant to your order or your portfolio:

- A quote of the current price for your selected security, includes the highest bid and lowest offer as well as the units available at each of those prices
- Here you can view your:
  - Net Liquidity:** The value of your portfolio if you were to liquidate everything, converted to an equivalent AUD amount.
  - Unrealised P&L:** Refers to profits and losses that have occurred on paper, but the relevant transactions have not been completed
  - Close Positions:** If you hold shares in the company you wish to buy or sell, you will be able to view your parcel here as well as close/sell the position
  - Open Orders:** View any open orders you may have for your selected security

### Order Ticket



## ORDER BUFFERS

Generally, whenever you place a BUY order a buffer will be included in your order estimate to ensure you have sufficient funds to settle the trade. The purpose of the buffer is to ensure the funds committed to your order are sufficient to fill it without the risk of currency fluctuations or market price movements exceeding your total buying power.

Whilst a buffer is required for your BUY order to be accepted, once your order has been filled and foreign currency conversion completed (where applicable), surplus funds from the buffer amount will be released for you to use on other orders, or withdraw.

To understand how buffers work in practice, please refer to the simple example below:

You wish to place a BUY order for 10 units of a \$50 stock trading on the NASDAQ (USD denominated trading currency), and need to make sure to have enough AUD in your International Wallet. Your;

- Trade premium is \$500 USD (assuming your limit price is \$50)
- Brokerage is \$5 USD (including third party fees)
- Indicative foreign exchange rate to convert AUD to USD is 0.6767

Converting the \$505 premium and brokerage cost is estimated to require approximately \$746.29 AUD is estimated to require approximately \$746.27 AUD. However as we need to assess this at the time of order placement and the foreign currency rate is not confirmed until after your order executes, we will require you to have extra funds in your International Wallet to cater for fluctuations in foreign currency prices. Using an example buffer of 5%, you would be required to have more than \$783.58 AUD in your International Wallet for the order to be accepted.

Once your order executes and a foreign currency conversion is processed, in this example the foreign exchange rate to convert AUD to USD is processed at 0.6805, the unused portion of the amount required to accept your order is released, and is available for you to use on another order, or withdraw. The;

- Amount required to accept order = \$783.58 AUD
- Amount required to complete trade settlement is \$505 USD \* 1.469508 AUD exchange rate = \$742.10 AUD
- Amount released back to you is (\$783.58 – \$742.10) = \$41.48 AUD

## LOT SIZES (APPLICABLE TO SINGAPORE, HONG KONG AND JAPAN)

In certain applicable markets, you may need to trade in minimum lot sizes. These lot sizes can vary depending on the exchange and the security traded. In general, a lot size is the minimum number of units that can be traded, and all orders must be a multiple of the lot size to be accepted.

An example of this can be found in the Japanese stock market, where the lot size is typically 100 shares. This means that the minimum number of shares that can be bought or sold in a single transaction is 100. Similarly, in the Hong Kong stock market, some securities have minimum lot sizes of 10,000. To place orders and trade above the minimum, the order would need to be a multiple of the lot size. E.g. where the lot size is 100 units, order increments would need to be 200, 300, 400 units etc.

To trade using lot sizes on our platform, see the steps below:

1. All markets have a minimum lot size, however some markets enforce trading in lot sizes only. For the Markets you can access with your International Shares Accounts, these markets are – **Hong Kong, Japan and Singapore**
2. When you select your security, the minimum lot size will always be prefilled for you in Quantity
3. For markets that only permit trading in multiples of the lot size, if you want to trade more than the minimum lot size you must amend the Quantity to be a multiple of the minimum lot size (e.g. 2 x minimum lot size of 100 = 200 units). To help you with this, you can use the “+” and “-” buttons to increase or decrease your quantity by the minimum lot size amount.

**ORDER TICKET** OPEN ORDERS (1)

Q 999

**Snapshot** Just Now

**999 ASIA RESOURCES HOLDINGS LTD**

20000 x **0.191** 0.445 x 40000  
0.201 x 20000

Last Exchange S 📌  
 Bid Exchange S 📌  
 Ask Exchange S 📌

Prices as of 16:16:28 GMT+11 🔄 Refresh

**YOUR ACCOUNT**

Buying Power **13,689,493.04**  
 Available to Trade **0.00 HKD**  
 Settled Cash **0.00 HKD**

Position / Avg Price **None**  
 Open Orders **None**

**Buy Order** Sell Order

QUANTITY EXCHANGE

10,000 -- + SEHK ▼

ORDER TYPE

Order size 2,000 is not correct; it should be a multiple of 10,000

2 OK

**Buy Order** Sell Order

QUANTITY

200 -- + 3

ORDER TYPE

Market ▼

# REVIEW MY OPEN ORDERS AND EXECUTED TRADES

The Orders & Trades page allows you to view your open orders and your completed trades that may have been executed or cancelled.

1. Navigate to **Trade** and select **Orders & Trades**
2. View your **Orders**, which may be unfilled, partially or fully executed.
  - **Action:** Buy or Sell
  - **Filled/Remain:** How many of your units have executed and how many have not
  - **Status:** The status of your order
  - **Price:** The price at which your order has executed
3. View your completed trades, which may have executed, expired or cancelled

**Orders & Trades**

ORDERS	ACTION	FILLED/REMAIN	STATUS	PRICE	ORDER ID
CSCO @NASDAQNMS Buy 100 Limit 49.82 DAY	Buy	0/100	PreSubmitted 09:20	—	2118430110

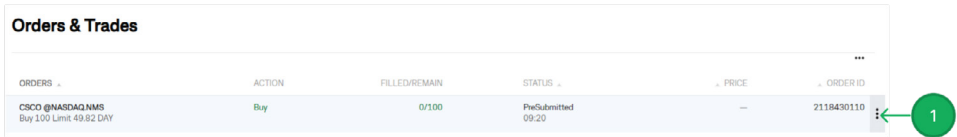
  

TRADES	ACTION	QUANTITY	STATUS	PRICE	AMOUNT
AAPL Bt 20 @ 143.96 on ISLAND	Bought	20	Filled 02/02/2023, 01:30	143.96	2879.2 Commission: 1.0
HD Bt 60 @ 315.99 on BVX	Bought	60	Filled 01/02/2023, 01:30	315.99	18959.4 Commission: 1.0
AIDUSD Sld 103.83 @ 0.7007 on IDEALPRO	Sold	100 103.83	Filled 31/01/2023, 14:16	0.7007	72.753681 Commission: 0.0

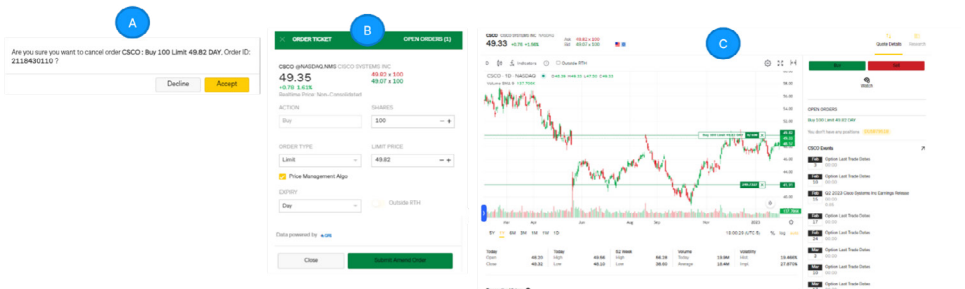
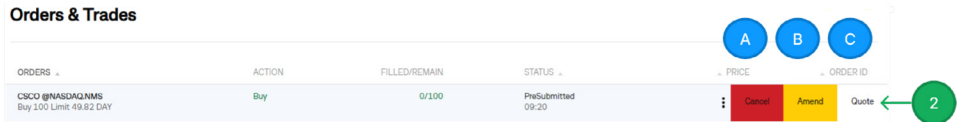
## AMENDING MY OPEN ORDERS

On the **Orders & Trades** page, you will have a list of your open orders. Please refer to the images and steps below to learn how to amend your open orders.

1. Click on the icon to open the edit menu

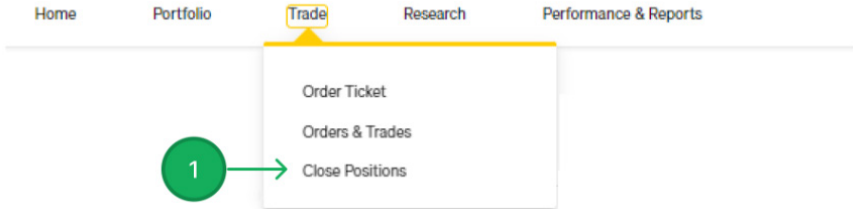


2. Cancel, modify, or request a quote for your order
  - A. Cancel your order (image A)
  - B. Modify your order - change the price, order type, or amount of units (image B)
  - C. View a current price quote (image C)



# CLOSE POSITIONS

1. To sell all or a percentage of your portfolio, navigate to **Trade**, then Close Positions



2. Specify the % **Amount** of your portfolio you wish to sell and the **Instrument** (Stocks/Warrants)
3. Select the **Order Type** (limit, market) and the **Time-in-Force**
4. To submit your request, select **Close Positions**
  - A. View your **Portfolio** and holdings

The screenshot shows the 'Order Ticket' form on the left and the 'Portfolio' table on the right. The 'Order Ticket' form has the following fields:

- Close Positions**: Amount (100%, 75%, 50%, 25%, Custom) - Callout 2 points to the 100% button.
- POSITIONS**:  Long
- ORDER TYPE**: Limit (dropdown) - Callout 3 points to this dropdown.
- EXPIRY**: Day (dropdown) - Callout 3 points to this dropdown.
- SELL LIMIT PRICE**: Bid (dropdown) - Callout 3 points to this dropdown.
- OFFSET (\$)**: 0 (input) - Callout 3 points to this input.
- BUY LIMIT PRICE**: Ask (dropdown) - Callout 3 points to this dropdown.
- OFFSET (\$)**: 0 (input) - Callout 3 points to this input.
- \*WARNING: Clicking Close Positions will close all Long & Short Positions**
- Close Positions** (button) - Callout 4 points to this button.

The **Portfolio** table shows the following holdings:

Instrument	Quantity	Price	Value
9690.SPO	10.00	0.00	
4689	1,000.00	0.00	
5	400.00	0.00	
5610	300.00	0.00	
700	100.00	0.00	
7003	100.00	0.00	
7202	100.00	0.00	
8YY	800.00	0.00	
9720	300.00	0.00	
AAC WAR Dec31'27 11.5 USD ...	100.00	0.00	
AAPL	331.00	0.00	
AFM	686.00	0.00	

The **YOUR ACCOUNT** summary shows:

- Net Liquidity: 14,744,275 USD
- Unrealized P/L: 42,437
- Buying Power: 12,885,863 USD

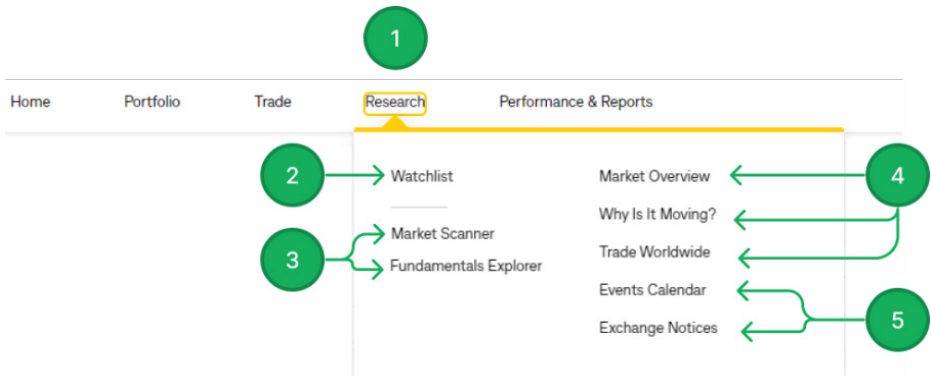
Callout A points to the 'Portfolio' table header.

# INTERNATIONAL PLATFORM – RESEARCH

If you want to research some potential investment opportunities, set up a Watchlist. To analyse market performance, you can access these functions and more following the steps below:

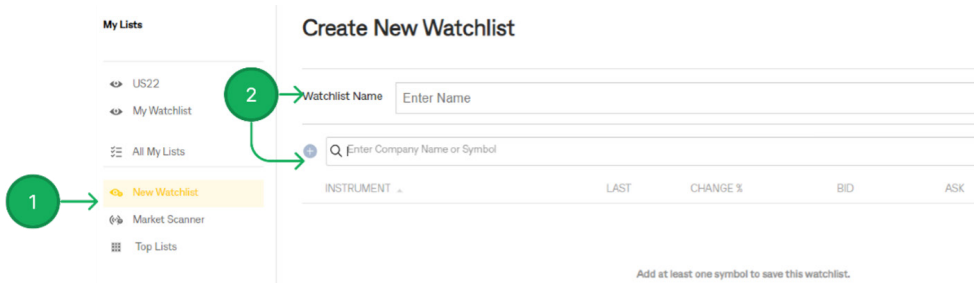
1. Click on the **Research** to expand the drop down menu
2. **Watchlist**: Track your investments by setting up your Watchlist
3. **Market Scanner**: Find stocks based on volume, price, and other criteria  
**Fundamentals Explorer**: Research a company's investment profile, key ratios, financials and other fundamental information
4. **Market Overview**: Review the current or previous trading session as well as other market data and commentary  
**Why Is It Moving?**: Powered by Benzinga, an independent third-party research firm, Why is it moving is the one sentence reason a stock is trading higher or lower on any given day. Benzinga's team of analysts dig through press releases, news items, and SEC filings, and often reach out to the company itself to uncover the catalyst for change
5. **Trade Worldwide**: Access various news articles from markets around the world
6. **Events Calendar**: Find out what corporate events are occurring today or in the future
7. **Exchange Notices**: View exchange notices from markets around the world

In the following section, we will explore each of these **Research** functions in more detail.



## SETTING UP YOUR WATCHLIST

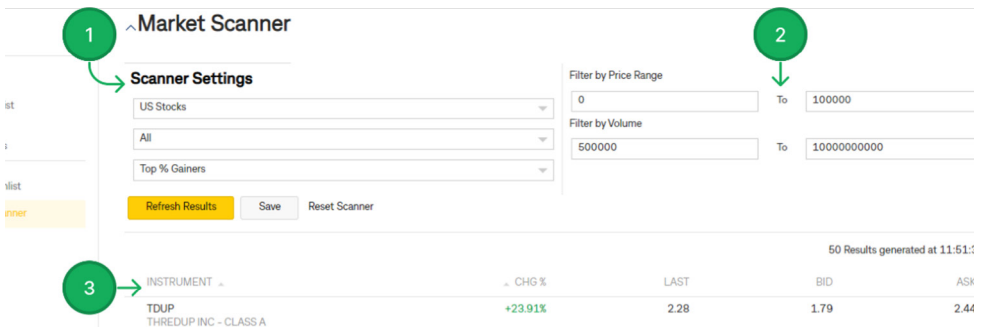
1. Select **New Watchlist**
2. Choose a Name for your **Watchlist** and enter the Company Name or Symbol and **Save** when complete



## MARKET SCANNER

Use the Market Scanner to find instruments based on price, volume and other criteria. Any results returned to you by the Market Scanner will be able to trade, view or monitor by adding to your Watchlist.

1. Select your **Scanner Settings** and filter the market based on region, security type and more
2. Set additional filters on your scan results based on price and/or volume
3. View the list of companies that fall in line with your **Scanner Settings**
4. On a new search using **Market Scanner**, click **Save** once you have applied all of your filters. If you have updated your filters from a previous search, click on **Refresh**



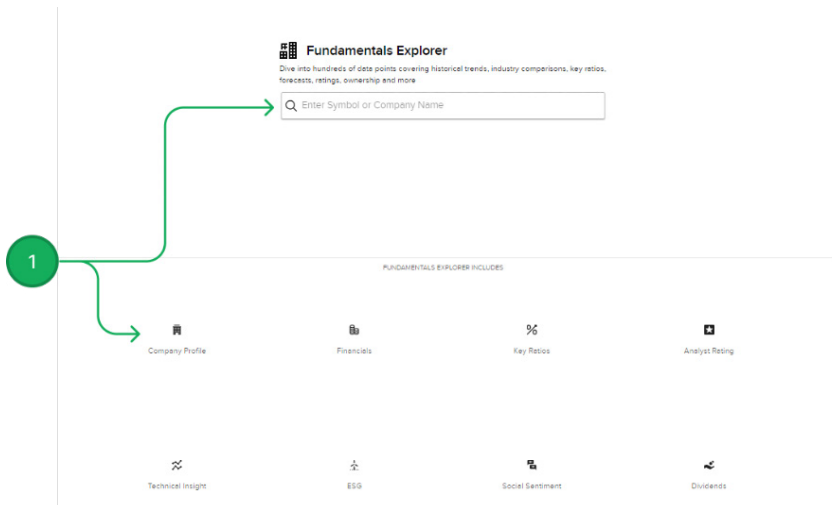
INSTRUMENT	CHG %	LAST	BID	ASK
TDUP THREDUP INC - CLASS A	+23.91%	2.28	1.79	2.44



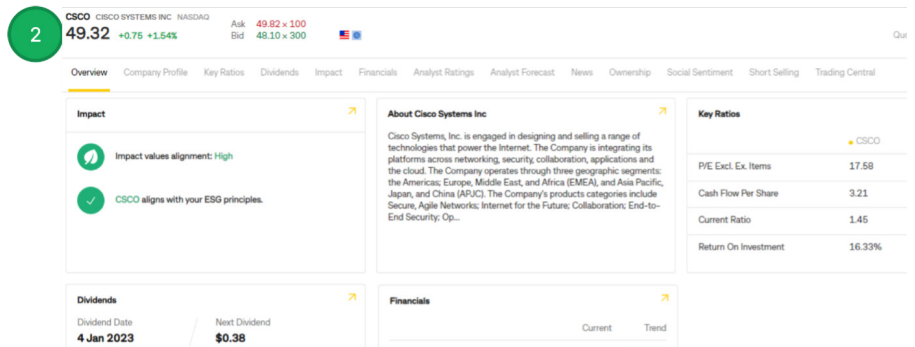
# FUNDAMENTALS EXPLORER

A research tool designed to help you understand the fundamental value of an asset, the **Fundamentals Explorer** includes Company Profile, Financials, Key Ratios, Dividends and more.

1. Type in the stock code or company name of the security you wish to research and gain access to over 300 data points



2. Once you enter the company name, you will have all the company information you may need, ranging from the current price, analyst ratings, social sentiment, the latest news and more.



# MARKET OVERVIEW

Dive into the market session and gain access to a wealth of information ranging from top news, market movers, performance by sector and more.

1. Access a variety of information and market data to keep you up-to-date on the latest trading session

## Market Overview

BRIEFING.COM MARKET UPDATE

### Closing Summary

The stock market kicked off today's session on a mixed note. The main indices oscillated around their flat lines in the first half of the day as investors awaited Fed Chair Powell's "Conversation with David Rubenstein" at the Economic Club of Washington, D.C. at 12:40 p.m. ET. Mr. Powell didn't have anything too surprising, but the market responded with some volatile price action nonetheless. The main indices initially shot higher, a move that was attributed to Mr. Powell's relatively calm demeanor when asked about Friday's stronger than expected January jobs report. That initial upside momentum quickly gave way to selling pressure, though, after Mr. Powell said that the Fed will react to the incoming data and will do more rate hikes if the data suggest that is necessary. That

U.S. Europe Asia Forex

■ S&P 500  
4164.07 1.29%

■ NASDAQ Comp  
12113.36 1.90%

■ RUSSELL 1000  
2293.80 1.29%

◆ S&P 500
 ◆ NASDAQ Comp
 ◆ RUSSELL 1000

Today
5 Days
1 Month
1 Year
5 Years

### Gainers and Losers

LOQ	VOWG
R NASDAQ 100 IC512.7	VOLKSWAGEN A131.26
TEG	DBPD
TAC IMMOBIELECI0.660	HORTDAX X2 DC1.2036
NVD	UMGI
HWDA CQ07 C202.25	ESCAL MUSIC C23.086
LIN	LHA
LINDE PL C C306.30	TSCHE LUFTHANSA 663
LTDN	HOLD
INTERNET AG-RR21.63	XETRA-GOLD C56.4300
AMZ	DISN
AMAZON.COM INC03.44	SHOR1DAX DAC13.232

### Scanner

Market Scanner
US Most Active
US Dividend Yield
US IPOs
US Top Gainers
US Top Losers
US Top Trade Rate
US Top Vol Rate
Hot by Opt Volume
US ESG Score
Option Volume

US Shortable
US 52 Week High
Lowest Option Imp Vol

### Today's Top News

HEADLINE

1. Joe Biden Touts Economic Gains in State of the Union
2. China Refused U.S. Call After Downing of Suspected Spy Balloon, Pentagon Says
3. Turkey, Syria Confront Earthquake Devastation—1 Buried, My Kids Today!
4. New Memphis Levee Attrages Police Brutality by Officers Charged in Death of Tyre Nichols
5. Turkey Declares Monthlong State of Emergency in Quake-Hit Regions
6. Republicans Home In on China Policy Following Balloon Shootdown
7. Pennsylvania Must Overhaul Its Unconstitutional School Finance System, Court Finds
8. Prosecutors Charge Russian Who Managed Oligarch Viktor Vekselberg's U.S. Properties
9. Labor Secretary Marty Walsh to Step Down
10. Near-Collisions on Runways in New York and Texas Rattle Aviation Officials

### SENTIMENT

Why is it moving  
By BENZINGA

TMCI NASDAQ

TREACE MEDICAL CONCEPTS INC.

Treach Medical Concepts shares are trading lower after the company announced a proposed \$100 million common stock offering.

KDYR NYSE

KYNDRYL HOLDINGS INC.

Kyndryl Holdings shares are trading higher after the company reported better-than-expected Q4 results.

# WHY IS IT MOVING

"Why is it moving?" is a simple way for investors to understand why a stock is trading higher or lower on any given day, powered by Benzinga, their team of analysts dig through press releases, news items, and SEC filings, and often reach out to the company itself to uncover the catalyst for change. Benzinga generates these catalysts in headline form, in order to deliver news to you quickly and concisely.

1. View each company and associated analyst commentary, click on the company name to access Fundamental Analysis

**1** → Why is it moving By BENZINGA

<p><b>AMD</b> <small>NASDAQ</small> ADVANCED MICRO DEVICES</p> <p>Shares of semiconductor stocks are trading higher after Meta raised its AI and data center capex outlook.</p>	<p><b>61.32</b> -0.15 -0.24% <small>Pre-Market Data</small></p>	
<p><b>OII</b> <small>NYSE</small> OCEANEERING INTL INC.</p> <p>Oceaneering International shares are trading higher after the company reported better-than-expected Q3 EPS and sales results.</p>	<p><b>12.00</b> <small>Pre-Market Data</small></p>	
<p><b>COUR</b> <small>NYSE</small> COURSERA INC</p> <p>Coursera shares are trading higher after the company reported better-than-expected Q3 sales results.</p>	<p><b>13.25</b> +0.90 +7.29% <small>Pre-Market Data</small></p>	
<p><b>BELFB</b> <small>NASDAQ</small> BEL FUSE INC-CL B</p> <p>Bel Fuse shares are trading higher after the company reported better-than-expected Q3 EPS and sales results.</p>	<p><b>33.90</b> -3.31 -10.82% <small>Pre-Market Data</small></p>	
<p><b>TDOC</b> <small>NYSE</small> TELADOC HEALTH INC</p> <p>Teladoc Health shares are trading higher after the company reported better-than-expected Q3 EPS and sales results.</p>	<p><b>29.64</b> -2.89 -10.80% <small>Pre-Market Data</small></p>	

# TRADE WORLDWIDE

1. View news from markets around the world
2. See which markets are open for trade

1

## Trade Worldwide

WORLD WIDE NEWS FOR YOU

**BRIEF-Nera Telecommunications Ltd Sees Net Loss For Full Year Ended 31 December 2022**

14 hours ago

N01  Singapore Communications & Networking (NEC) Market Cap 27.81M

**ASX ALERT-Investor Presentation-AVA.AX**

1 February


<http://newsfile.refinitiv.com/getnewsfile/v1/story?guid=urn:newsml:reuters.com:20220201:nASK5XBYOC>

AVA  Australia Communications & Networking (NEC) Market Cap 39.1M Analyst Consensus Buy 44.97%

**DJ Table: S1 Corp. 4Q Consolidated Net KRW28.13B Vs KRW4.38B**

31 January


S1 Corp. - South Korea 4th Quarter ended Dec. 31 Figures in Korean Won Consolidated 2022 2Q1. Revenue KRW630.12B KRW595.67B Operating Profit KRW37.65B KRW11.69B Net Profit KRW28.13B KRW4.38B Results a

012750  South Korea Security & Surveillance Market Cap 1.74B Analyst Consensus Buy 36.87%

**BRIEF-Sterite Technologies Approved Fund Raise Upto 5 Billion Rupees**

27 January

Jan 27 (Reuters) - Sterite Technologies Ltd. - \* STERILITE TECHNOLOGIES LTD - APPROVED FUND RAISE UPTO 5 BILLION RUPEES Source text for Eikon: [D:nBSE3LW4z] Further company coverage: [STENIS] [Rout

STLTECH  India Communications & Networking (NEC) Market Cap 843.12M Analyst Consensus Buy 20.07%













**ADB:ASX - Audinate's Volume reached a recent low of 25.80, in the past this led to a increase in price**

27 January

TOGGLE Copilot subscriptions are now FREE, and TOGGLE Pro subscribers get up to \$99 of their commission fees reimbursed. Claim your perk here! Volume Ana

2



 Canada	-
 Mexico	-
 France	-
 Britain	-
 Italy	-
 Spain	-
 Netherlands	-
 Sweden	-
 Belgium	-
 Japan	-
 India	-
 Australia	-

# EVENTS CALENDAR

1. Enter a company symbol or name to view any upcoming events for that particular stock. You can also filter the calendar to show companies based on your Portfolio, Watchlist, and more.
2. View all upcoming corporate and economic events related to your chosen companies. Change your date range to view events on a daily, weekly or monthly basis.

The screenshot shows the 'Events Calendar' interface. On the left, a sidebar contains a search bar with a magnifying glass icon and the text 'Enter a symbol'. Below this is a calendar for February 2023, with the 8th of February highlighted in a black circle. A green circle with the number '1' and an arrow points to the search bar. Below the calendar are filter options: 'Chronological' (selected) and 'By Event Type'. There are also sections for 'Only Show My Companies' (with checkboxes for Portfolio, Watchlist, Recently Held, and Competitor Companies), 'Corporate Actions' (with checkboxes for Earnings, Dividend Ex-Dates, IPO/Secondary Offering, and Splits), 'US IPOs', and 'Corporate Events'. The main area displays a list of economic events for 'Wednesday, 8 February 2023'. Each event entry includes an icon, the event name, time, and a brief description. A green circle with the number '2' and an arrow points to the 'Day' button in the date range selector at the top of the event list, which also includes 'Week', 'Month', and '< Today >' options.

# EXCHANGE NOTICES

1. View **Exchange Notices** from markets across the world ranging from Press Releases, to News Alerts. You can also filter the **Exchange Notices** based off Category, Venue, or Date
2. View or Download the **Exchange Notices** for your personal records

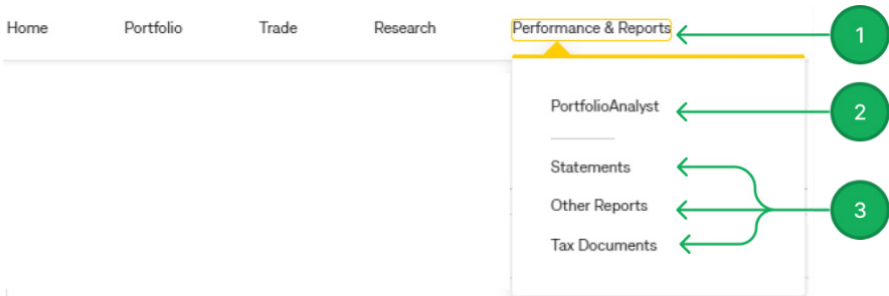
**Exchange Notices**

Category: All | Venue: All | Start Date: 31/10/2022 | End Date: 01/11/2022

EXCHANGE	DATE	CATEGORY	TITLE/SYNOPSIS	
HKEX	31 Oct 2022	General	HKEX News Alert	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Trading	Derivatives - Product Information	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Trading	Derivatives - Product Information	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Trading	Stockholm - Bond listings	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Clearing	Financial Products News #2022 - 39 Nasdaq Announces Launch of Three New Indexes Effective Monday, October 31st, 20...	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Clearing	Financial Products News #2022 - 39 Nasdaq Announces Launch of Three New Indexes Effective Monday, October 31st, 20...	<a href="#">Download</a>
EURONEXT	31 Oct 2022	General	Euronext Cash Market Info-Flash: EUA Update for Italian Markets	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Clearing	Financial Products News #2022 - 39 Nasdaq Announces Launch of Three New Indexes Effective Monday, October 31st, 20...	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Clearing	Financial Products News #2022 - 39 Nasdaq Announces Launch of Three New Indexes Effective Monday, October 31st, 20...	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Clearing	Financial Products News #2022 - 39 Nasdaq Announces Launch of Three New Indexes Effective Monday, October 31st, 20...	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Clearing	Financial Products News #2022 - 39 Nasdaq Announces Launch of Three New Indexes Effective Monday, October 31st, 20...	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Clearing	Financial Products News #2022 - 39 Nasdaq Announces Launch of Three New Indexes Effective Monday, October 31st, 20...	<a href="#">Download</a>
NASDAQ/OMX	31 Oct 2022	Clearing	Financial Products News #2022 - 39 Nasdaq Announces Launch of Three New Indexes Effective Monday, October 31st, 20...	<a href="#">Download</a>
CME	31 Oct 2022	General	€STR futures are here	
NASDAQ/OMX	31 Oct 2022	Technical	Genium iNET Test System Status	
AQUIS	31 Oct 2022	Trading	Trading Notice 2022_0113 - Aquis Exchange Stock Deletion	<a href="#">Download</a>
ABN	31 Oct 2022	General	Newsflash   Hong Kong office possibly closing because of typhoon	
HKEX	31 Oct 2022	General	HKEX News Alert	<a href="#">Download</a>
ABN	31 Oct 2022	General	Newsletter   Change in Cost of Fund Rate [HKD]	
NASDAQ/OMX	31 Oct 2022	Technical	Genium iNET Test System Status	
NASDAQ/OMX	31 Oct 2022	Trading	Trade Halt Notification for TIL	
NASDAQ/OMX	31 Oct 2022	Trading	Trade Halt Notification for TIL	

# PERFORMANCE & REPORTS

1. Access your statements, tax documents and more under the **Performance & Reports** menu
2. **PortfolioAnalyst**: Use PortfolioAnalyst to evaluate the performance of your portfolio by creating and saving reports based on a set of measurement criteria and optionally comparing their data to selected industry benchmarks
3. **Statements**: Run different kinds of statements, including trade confirmations, settlement and projected settlement statements.  
**Other Reports**: Access a range of reporting functionality including transaction cost analysis and more.  
**Tax Documents**: View your annual tax forms and other tax related reporting



# PORTFOLIOANALYST

Access the **PortfolioAnalyst** under **Performance & Reports**

1. This feature allows you to consolidate, track and analyse your portfolio all in one place
2. Configure the Time Period, measurement of return, frequency of the data portrayed
3. View your Portfolio Summary, Key Statistics, Performance (graph), Portfolio Movers, Allocation and more

**PortfolioAnalyst**

Time Period: **7D** MTD 1M YTD 1Y INCEPTION CUSTOM | Frequency: **Daily** Monthly Quarterly | Performance Measure: **MWR** TWR | Benchmarks: Add

**Summary** 2023-02-03 to 2023-02-09

Asset Class: [Dropdown]

Type	CTR %	NAV (AUD)
Equities	-0.06	4.74
Cash	0.00	95.51
<b>Total</b>	<b>0.15</b>	<b>100.25</b>

**Key Statistics** 2023-02-03 to 2023-02-09

**Net Asset Value** AUD

Beginning	Ending	Change
100.31	100.25	-0.06

**Return** %

Best (2023-02-02)	Worst (2023-02-08)	Period
0.21	-0.06	0.15

**Deposits/Withdrawals** AUD

Deposits	Withdrawals	Net
0.00	0.00	0.00

**Performance** 2023-02-03 to 2023-02-09

NAV

100.32  
100.28  
100.20  
100.14

2023-2/3 2023-2/4 2023-2/5 2023-2/6 2023-2/7 2023-2/8 2023-2/9

AliasTester AliasTester Return

**Portfolio Movers** 2023-02-03 to 2023-02-09

**Allocation** As of 2023-02-09

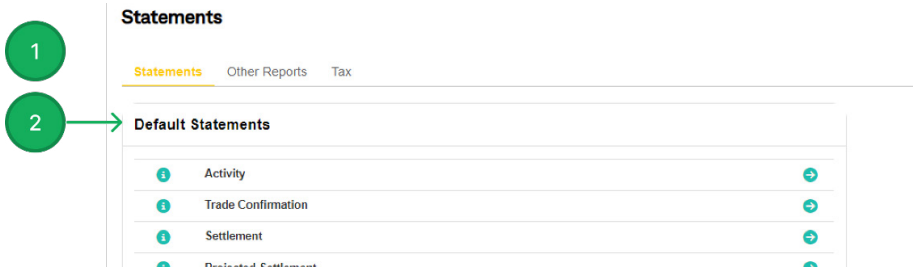
**Risk Measures** 2023-02-03 to 2023-02-09



# STATEMENTS, REPORTS AND TRADE CONFIRMATIONS

Access **Statements** under **Performance & Reports** menu

1. View your **Statements**, **Other Reports**, or **Tax** statements
2. View your **Default Statements**, including Trade Confirmation, Activity, Settlement and Projected Settlement reports



## STATEMENTS & REPORTS - KEY INFORMATION

Refer to the table below to determine what type of information you are looking for, which statement it is located in, and where to find it:

Where do I find...	Where do you find it	Navigation
<b>Dividend accruals (declared but not paid)</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; Dividends</li> <li>• Activity Statement &gt; Change in Dividend Accruals</li> <li>• Landing page</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> <li>• Home - Your Portfolio - Dividends</li> </ul>
<b>Dividend paid</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; Dividends</li> <li>• Landing page</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> <li>• Home - Your Portfolio - Dividends</li> </ul>
<b>Payment in lieu of dividends (dividends paid to lender of dividend paying shares)</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; Dividends</li> <li>• Landing page</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> <li>• Home - Your Portfolio - Dividends</li> </ul>
<b>Portfolio balance</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; Dividends</li> <li>• Landing page</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> <li>• Home - Your Portfolio</li> </ul>
<b>Trade details</b>	<ul style="list-style-type: none"> <li>• Confirmation Statement</li> <li>• Activity Statement &gt; Trades</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Trade Confirmation</li> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> </ul>
<b>AUD cost base</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; Open Positions</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> </ul>

<b>Where do I find...</b>	<b>Where do you find it</b>	<b>Navigation</b>
<b>Deposits &amp; withdrawals</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; Deposits &amp; Withdrawals</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> </ul>
<b>Taxes, stamp duty &amp; third-party fees</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; Transaction Fees</li> <li>• Confirmation Statement &gt; Trades</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> </ul>
<b>ADR / GDR (American or Global Depository Receipt) fees (pass through custody fees charged by the central securities depositories)</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; fees &gt; Other fees</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> </ul>
<b>Withholding tax</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; Withholding Tax</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> </ul>
<b>Live market data snapshot</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; Fees &gt; Other fees</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> </ul>
<b>Transfer in/out</b>	<ul style="list-style-type: none"> <li>• Activity Statement &gt; Transfers</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Statements &gt; Activity</li> </ul>
<b>Annual tax statement</b>	<ul style="list-style-type: none"> <li>• Annual Tax Statement</li> </ul>	<ul style="list-style-type: none"> <li>• Performance &amp; Reports &gt; Tax Documents &gt; Tax Forms &gt; Select the relevant Tax Year</li> </ul>





# WE'RE HERE *TO HELP*

If you have any questions, call us on **13 15 19**,  
(24 hours a day during US Trading Days)  
or visit our website

13 15 19



CommSec